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The Technological Resilience of U.S. Cities

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The Technological Resilience of U.S. Cities

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Abstract: We study the resilience of cities by analyzing their relative capacity to sustain the production of technological knowledge in the face of adverse events. Using patent applications in 366 Metropolitan Statistical Areas in the United States from 1975 to 2002, we analyze the vulnerability and response of cities to technological crises. We define episodes of technological crisis as periods of sustained negative growth in patenting activity. We find that the frequency, intensity and duration of technological crises vary considerably across American cities. We test whether the technological knowledge bases of cities, their network openness and institutional environment condition their resilience to technological crises. Econometric analysis suggests that cities with knowledge bases that are diverse, flexible and that have a high degree of relatedness to technologies in which they do not currently possess comparative advantage tend to avoid technological crises, have limited downturns in patent production and faster recovery.

Key words: urban resilience, technological crisis, related knowledge structure, institutions, inter-city networks

JEL codes: O33, R11, L65, D83

1. Introduction

For much of the last forty years, the cities that we readily identify with the old manufacturing core of the United States, especially the cities of the Mid-West, have found themselves in a persistent downward slide, shedding jobs, investment and much hope for the future. Over much the same period, many cities in the South and West of the country have experienced considerable growth. This simple Snowbelt-Sunbelt division captures the relatively broad-scale spatial logic of regional uneven development in the U.S., at least since the early-1970s, but it fails to identify those cities whose fortunes differ in significant ways from that of their regional neighbours. A small number of Snowbelt cities have experienced growth at rates above the U.S. median since 1975, while some cities in the Sunbelt have declined substantially. Why is it that some cities and regions have failed to maintain their historical rates of growth while others have passed them by? Why are some cities more resilient than others, seemingly capable of rolling with the punches, escaping repeated slides in performance or at least limiting the intensity of short-run shocks that weaken competitors? Still other cities appear capable of repeated re-invention in the long-run, abandoning obsolete sectors, modes of work organization and institutional practice, and avoiding the lock-in that may bring gains that are all too often short-lived.

Recently, economic geographers have embraced the concept of regional resilience, though the nature of the resulting debate suggests they are far from a common understanding (e.g. Christopherson et al., 2010; Pendall et al. 2010; Pike et al. 2010; Simmie and Martin 2010; Fingleton et al., 2012; Martin 2012). What is clear is the tendency to refute equilibrium-based approaches, in which resilience is analyzed as a short-term response to external shocks and a move back to a steady state. In place of equilibrium, most scholars have advocated an evolutionary approach to regional resilience that focuses on the long-term capacity of regions to reconfigure their economic and institutional structures to develop new growth paths (e.g. Christopherson et al., 2010; Simmie and Martin 2010; Bristow et al. 2012).

However, the regional resilience literature is still underdeveloped (Boschma 2014). First, there is a need to bring together two literatures that so far have been developed in isolation from each other. These concern the literatures that either focus on the short-term capacity of a region to absorb shocks or the long-term capacity of a region to develop new growth paths. To our knowledge, there exists no systematic empirical study that has investigated the ability of regions to respond to shocks and that links such responses to the long-term capacities of regions to develop new technologies. Second, there is a misleading tendency in the literature to equate resilience with the avoidance of path dependence, as if

path dependency causes only problems of adjustment, and as if regions need to escape from their historical legacy to develop new growth paths (Magnusson and Ottosson 2009; Boschma 2014). We argue that the legacy of the past has a strong imprint on regional resilience, as it sets the scope for re-orientating skills, resources, technologies and institutions within different geographical units. Recent empirical work has demonstrated that pre-existing resources and capabilities in regions are often rejuvenated and redeployed in new combinations that shape new growth paths in regions (Neffke et al. 2011; Rigby 2013; Boschma et al., 2014). Third, the regional resilience literature has been criticized for ignoring critical dimensions of regional resilience, like technological relatedness, network structures and institutions. In this paper, we incorporate these three dimensions by investigating the effect of knowledge bases (Neffke et al. 2011), network openness (Vicente et al. 2011) and institutions (e.g. Swanstrom, 2008; Pike et al., 2010; Davies, 2011) on urban resilience.

The objective of this paper is to account for these critiques when explaining the technological resilience of U.S. cities over the period 1975 to 2002. We focus on cities as centres of knowledge production, and we view resilience as the capacity of cities to maintain their levels of knowledge creation over the long-run. We test whether the knowledge base of cities (measured as the relatedness between technologies that have been developed in a city to the set of existing technologies not yet exploited, a proxy for the potential of cities to reconfigure their local technological assets), their network openness (measured as knowledge linkages across systems of cities) and their institutional structure (measured by the non-competition enforcement index, a proxy for institutional flexibility) have affected the capacity of U.S. metropolitan areas (1) to withstand periods of technological slowdown or crisis, (2) to limit the intensity and (3) the duration of technological crisis events. Econometric analysis suggests that the structure of the knowledge base within cities conditions their resilience to crises. Cities with knowledge bases that have high levels of relatedness to the set of existing technologies in which they do not yet possess comparative advantage have a higher tendency to avoid crises and a greater capacity to limit the intensity and duration of crisis events. Results suggest that the institutional character of cities and the position of cities within the U.S. urban network are more varied in their impacts on resilience.

The structure of the paper is as follows. In Section 2, we briefly discuss the regional resilience literature, and we offer a simple conceptual frame that allows us to explore how resilience might be operationalized to understand the technological performance of cities facing short and long-run shocks that resonate with varying levels of intensity across the U.S. space economy. Section 3 turns to questions of data and identification of episodes of technological crises confronting cities as events of sustained

negative growth in patenting activity at the city level. Section 4 provides descriptive statistics concerning the dynamics of knowledge production across the U.S. city system since 1975. Section 5 specifies a model of the technological resilience of cities and discusses the results. Section 6 concludes.

2. Regional resilience

Economic geographers have shown a strong interest in the topic of regional resilience (Swanstrom et al., 2009; Bristow 2010; Christopherson et al., 2010; Hassink, 2010; Pendall et al. 2010; Pike et al. 2010; Simmie and Martin 2010; Treado, 2010; Wolfe, 2010; Cooke et al., 2011; Fingleton et al., 2012; Hill et al. 2012; Martin 2012; Martin and Sunley, 2013; Diodato and Weterings 2014). This interest has also led to debate. A recurrent critique is the fuzziness of the resilience concept (Pendall et al. 2010; Martin, 2012). Economic geographers also tend to refute the engineering-based approach which defines regional resilience as the ability to return to a pre-existing stable equilibrium state after a shock (Rose 2004; Fingleton et al. 2012), as it makes no reference to changes in the structure and function of regions (Martin 2012). Also the ecological concept of regional resilience (e.g. Reggiani et al. 2002; Swanstrom et al. 2009; Zolli and Healy 2012) has been criticized, because its equilibrium perspective does not fully capture structural change and the role of human agency and institutions that are key to understand the long-term economic evolution of regions (MacKinnon and Driscoll Derickson 2012).

An evolutionary approach to regional resilience has been proposed instead (e.g. Christopherson et al. 2010; Clark et al. 2010; Pike et al. 2010; Simmie and Martin 2010; Cooke et al. 2011; Bristow et al. 2012). This approach focuses more on the long-term evolution of regions and the ability of economic agents in regions to adapt and reconfigure their industrial, technological, network and institutional structures in an economic system that is in constant motion. The dynamics associated with capitalist competition ensure that no economic agents and, in aggregate, no regions are secure in their future (Swanstrom 2008). Processes of creative destruction continually reorder the competitive standing of technologies, modes of organization and institutions and, in aggregate, the firms and regions within which they are embedded. The production of new technologies and new institutional frames in different parts of the space economy allows some regions to create new growth paths and, in some cases, to avoid the decline and stagnation that afflicts other parts of the regional economy (Saviotti 1996). Focusing on these processes of creative destruction gives resilience a truly Schumpeterian meaning.

However, the evolutionary perspective on regional resilience is underdeveloped (Boschma 2014). The evolutionary literature has focused on the capacity of economic agents in regions to induce structural change and develop new growth possibilities in terms of path creation and path renewal (Pyka and Saviotti, 2005; Garud et al 2010). By doing so, it has successfully criticized the resilience literature for ignoring how shocks affect long-term regional competitiveness in general, and the ability of regional actors to create new growth paths and make crossovers across technologies and industries in particular (Boschma, 2014). Still, these evolutionary claims remain rather disconnected from the basic interest of the resilience literature, namely, the capacity of regions to absorb shocks, and the speed with which they can recover from them. This implies that an evolutionary approach to regional resilience has to make explicit what characteristics of regional economies help them avoid particular shocks, what local characteristics and processes can limit the intensity of shocks once they occur, and how the duration of these shock events may be limited. This paper makes a first tentative step in this direction.

There is also a tendency in the literature to equate regional resilience with the avoidance of path dependence, as if path dependency causes only problems of adjustment, and as if regions need to escape from their historical legacy to develop new growth paths (Ebbinghaus 2009; Magnusson and Ottoson 2009; Henning et al. 2013; Boschma 2014). We argue instead that the legacy of the past has a strong imprint on regional resilience, as it sets the scope for re-orienting skills, resources, technologies and institutions in regions. A large body of empirical studies has shown that pre-existing geographies of resources and capabilities tend to shape new regional growth paths (Rigby and Essletzbichler 1997; Bathelt and Boggs 2003; Glaeser 2005; Klepper 2007; Belussi and Sedita 2009; Buenstorf and Klepper, 2009; Treado 2010). New key technologies tend to branch out of and recombine local resources and capabilities (Tanner 2011; Colombelli et al. 2012; Boschma et al. 2014), and new industries tend to emerge from existing related industries (Klepper and Simon 2000; Neffke et al. 2011; Van der Wouden 2012; Boschma et al., 2014; Essletzbichler 2013; Muneeppeerakul et al. 2013; Rigby 2013). Existing institutions in regions provides opportunities but also sets limits on the types of new growth paths that can be developed, depending on whether they are coherent with existing institutions operating across various spatial scales (Amable 2000; Hollingsworth 2000; Hall and Soskice 2001; Thelen 2003; Streeck and Thelen 2005; Strambach 2010). Ebbinghaus (2009) refers to the importance of a partial renewal of current institutions that does not challenge the overarching institutional system. In sum, developing new growth paths in regions does not necessarily mean breaking with the past, for the resilience of regions depends to a considerable degree on their own history.

The regional resilience literature has also ignored critical dimensions that may affect the capacity of economic agents within regions to respond to shocks. The resilience literature has given too little attention to the role of institutions (Swanstrom et al. 2009; Bristow 2010; Hassink 2010; Wolfe 2010; Pike et al. 2010; Davies 2011; MacKinnon and Driscoll Derickson 2012; Wink 2012) and the role of networks (Martin and Sunley 2007; Swanstrom et al. 2009; Pendall et al. 2010; Bristow et al., 2012; Bristow and Healey 2013), despite some focus on urban transport networks (Reggiani 2012) and trade networks (Thissen et al. 2013). What seems to matter for regional resilience is the internal structure of a region's knowledge network (Fleming et al. 2007; Vicente et al. 2011; Balland et al. 2013; Crespo et al. 2013), the connectivity and openness of a region's networks to knowledge developed elsewhere (Asheim and Isaksen 2002; Bathelt et al. 2004; Moodysson 2008; Dahl Fitjar and Rodríguez-Pose 2011), and the ability of regions to efficiently absorb external knowledge inputs (Cohen and Levinthal 1990).

For evolutionary economic geographers, it is critical to recognize that resilience is a characteristic of economic units, of individual workers, firms and regions that changes over time, and that highlights the capacity of socio-economic and other kinds of units to maintain function. As indicated above, the relentless nature of capitalist competition continually challenges the economic function and efficiency of existing forms of knowledge, of organization, of institutional structure, of geographies of economic activity and of the interplay of all these elements of the capitalist space-economy. That there are so many alternative arrangements of these elements, interacting in complex ways, makes it difficult to isolate the factors that are associated with resilience. In the brief discussion below, we build on the arguments above, privileging knowledge production as a critical indicator of the ability of regional economies, as sets of agents and the structures that regulate the interactions between those agents, to remain competitive over the longer-run. Focusing on this core component of capitalist competition has two advantages. First, it reduces the complexity of the regional economic system that we seek to understand. Second, it facilitates the bridging of theoretical claims and empirical analysis. While there are reasonably good empirical accounts of economic activity over time for a number of regional systems, those accounts have at least one main failing, as they typically provide no means of tracking the inter-connectedness of different (sub-national) regional economic units over time. This is a significant problem, for regional economies are not independent, but rather comprise spatially delimited sets of economic agents and relations, that are more or less well-connected to agents in other regions defined across multiple spatial scales. Data on technology production include information on citations and collaboration that embody spatial identifiers allowing researchers to track linkages between regions

in the form of knowledge flows. We are not, hereby, arguing that knowledge production should be the sole, or even the primary, focus of research on regional resilience, merely that it facilitates operationalization of the claims that we make below.

Within the increasingly interlinked global economy, the production of knowledge – new products and processes of production, new organizational and spatial forms of economic activity – plays a central role in the ability of regional economies to maintain their competitive standing. Invention and innovation grant economic agents some monopoly protection from the vicissitudes of competition, and afford them some capacity to shape trajectories of growth that reward knowledge assets that have been accumulated in some regions rather than others. The complex nature of knowledge as a commodity makes it difficult to move and to imitate. Sorenson et al. (2006) outline the difficulties faced in maintaining the fidelity of knowledge as it is moved, and Gertler (1995) has long noted how much knowledge does not travel well at all. Thus, even if knowledge production assets are reproduced over space, there is no guarantee that invention will follow. Forms of knowledge and knowledge production systems are highly differentiable and highly localized.

Increasingly, we imagine knowledge production as a process of recombination (Kauffman 1993; Weitzman 1998; Fleming and Sorenson 2001), a process that is increasingly localized as the complexity of knowledge increases. Thus, the structure of technology that is developed in different places, the different types of knowledge and the relatedness between them, plays a strong role in shaping the technological trajectories that are associated with different regions (Saxenian 1994; Rigby and Essletzbichler 1997). Some of these technological structures, and related sets of regional competences, are more flexible than others. Thus, at different moments of time, regions are more or less locked into particular development pathways. However, some of those pathways offer many more possibilities than others, what we define as technological flexibility. That flexibility is a persistent feature of the regional knowledge base, but it is a feature that changes over time as regional knowledge assets are deployed in different directions, as existing competences are abandoned and new ones established (Saxenian 1994; Boschma and Van der Knaap 1999). Regions that concentrate their assets on particular technological subsets might be successful in the short- and medium-terms, but if they fail to maintain capacities in related fields they will lose technological flexibility and longer-run resilience. We suspect that it takes much longer to develop technological flexibility and resilience than it takes to lose it.

Though the structure of knowledge is region specific, processes of technological search and development mine information located in many, different regions. In some regional economies, a belief in technological superiority might limit external search and close-off external linkages. Closed regional economies heighten the risk of narrowing technological trajectories and limiting technological flexibility. For other regions, outward-looking search routines are designed to link the knowledge production assets found in different places. We might suspect that external search continually refreshes the knowledge base of the region, though whether that is the case is the subject of much current research (Bathelt et al. 2004; Fitjar and Rodríguez-Pose 2011). Furthermore, a multiplicity of technological designs might also dilute research productivity, lowering returns and the capacity of regions to engage in further search. Some forms of external regional interaction, formal inventor collaborations for example, might reduce such risks through focusing research efforts on projects where cognitive proximity is high. Less formal knowledge spillovers may amplify such risks, especially for regions that lack the absorptive capacity to efficiently identify knowledge subsets that they can exploit.

Setting aside questions of cognitive proximity and absorptive capacity, in general, the more well-connected a region, the greater the flow of technological information it receives. The structure of a region's knowledge acquisition network is critical in terms of preserving the quality of technological information exchanged, and mitigating problems of knowledge acquisition when some network links are broken and when processes of search are uncertain (see also Hagedoorn and Duysters 2002; Noteboom and Gilsing 2004). Watts and Strogatz (1998) examine a continuum of network types characterized by different degrees of clustering and path length. They establish the importance of small-world networks, characterized by high local clustering and low path length, to innovation, a function of trust generated in local clusters (Granovetter 1985) and preservation of the fidelity of knowledge flowing along short paths (Verspagen and Duysters 2004; Fleming et al. 2007). It is also important to recognize that network structure is itself an emergent property of a set of interacting agents and behavioural routines that are distributed across the economic landscape (Kogut 2000). Thus, networks and network characteristics evolve and may themselves get "locked-in", preserving certain types of regional ties, limiting the creation of variety and resilience (Vonortas 2009). Different network architectures enhance or retard network flexibility, the capacity of agents within the network to alter tie structures and redraw network structure. We develop a measure of network flexibility below and assess how the position of cities within the U.S. urban knowledge production system is related to urban resilience.

Cities and regions that possess significant knowledge production capacity and which exhibit high centrality values on regional knowledge production networks may not exhibit the long-run technological flexibility that undergirds resilience. Alongside the technological capacity to generate and accumulate knowledge, resilient economic spaces are more likely to have dynamic institutional structures that acknowledge the benefits of heterogeneity, of technological and other kinds, which promote diversity in knowledge production, recombination and application, and which are themselves mutable. Indeed, in his early work on institutions, Douglas North (1995, p. 26) writes, "...the key to continuing good performance is a flexible institutional matrix that will adjust in the context of evolving technological and demographic changes". Davis (2010) builds on these arguments to outline a growth model driven by increasing returns to institutional learning where greater specialization offers gains the realization of which are threatened by existing institutional constraints. Using national economic data, he presents compelling evidence that the evolution of institutions is more important than static institutional quality for long-run economic growth. Saxenian (1994) and Storper (1993) present similar claims at the regional level. Within the context of rapidly changing economic environments, especially those riven by significant new knowledge systems, the co-evolution of institutional structures has been examined by Van de Ven and Garud (1994) and Setterfield (1993). The dangers of institutional stasis are exposed by Grabher (1993), and the power of new technologies to overturn existing institutions is highlighted by Hargadon and Douglas (2001).

In this paper, we make an effort to tackle the critiques on the concept of regional resilience outlined above, while developing the related concepts of technological, network and institutional resilience just discussed. Instead of merely focusing on the ability of a city to accommodate shocks, we redefine urban resilience in terms of how shocks affect the long-term capacity of cities to develop new technological knowledge. We view resilience as the capacity of cities to maintain their levels of new knowledge creation over the long-run in the context of technological crises. We make history a key input to our understanding of urban resilience. We propose a comprehensive view on urban resilience in which we assess how the local knowledge base, the local institutional structure, and the relative position within the U.S. urban knowledge production system influence the capacity of cities to withstand technological crises, and limit their intensity and duration. Our analysis of resilience focuses on 366 U.S. metropolitan areas for the period 1975-2002.

3. Data & Methodology

In this section, we discuss characteristics of U.S. patent data that are used to develop our empirical arguments about the relationship between technological resilience and the structure of knowledge within metropolitan areas. In the absence of survey data, patents provide perhaps the only reliable method of identifying the knowledge base of regions. Early efforts to characterize the technological character of U.S. cities relied upon proxies such as the distribution of output across industrial classes or the distribution of workers across (creative) occupations or educational classes (Hall and Markusen 1985; Markusen 2001; Florida 2002). Unfortunately, these proxies provide little information about different types of knowledge or technology and thus they fail to cast much light on variations in the structure of knowledge. The advantages of patent data for mapping the changing character of knowledge production over space and time are clear. First, patents provide detailed information about the nature of knowledge claims: those claims are distributed across 438 primary technology classes and many thousands of sub-classes (Strumsky et al. 2012). Second, patents reveal where new knowledge is created by referencing the geography of inventors (and co-inventors, where applicable), and they indicate the year in which this knowledge was produced. Third, there are a number of well-known methods for measuring the relatedness between different technological classes listed on patents (Engelsman and van Raan 1994). Those methods fall into two broad types based either on the co-classification of technology classes found on individual patents (Jaffe 1986; Teece et al. 1994), or on the citation patterns between technology classes (Leten 1994; Rigby 2013). These measures enable us to characterize the structure of knowledge generated within U.S. cities and to track how that structure has evolved since 1975.

3.1 Data

The analysis in this paper uses data on utility patents granted by the United States Patent and Trademark Office (USPTO). Digital records for U.S. patents originate in 1975 and hence this year marks the beginning of our period of study. Analysis ends in 2002 because of right-truncation in the patent series. We are interested in the timing of new knowledge creation and so focus on the application year of the patent rather than grant year because of the time-lag between the dates of creation and formal recognition. Upon review, individual patents are placed into one or more distinct technology classes that are designed to reflect the technological characteristics of the underlying knowledge base that they embody. By the end of 2010, there were 438 classes of utility patents in use by the USPTO. Periodic

reclassification ensures that our analysis is built upon a common set of technology classes covering the period 1975 to 2002. Individual patents are placed into a number of different technology classes, consistent with the range of knowledge that they introduce, though each granted patent is also allocated a primary technology class on the basis of the extent of the novelty generated across different technology fields. We allocate individual patents to their primary technological classes, though we exploit the co-class information on patents to build measures of relatedness between those classes. Analysis focuses on U.S. patents defined as those produced by an inventor located within the United States. In the case of co-invention, patents are located by the address of the first-named, primary inventor. We discard patent records if the primary inventor is not located in one of the 366 U.S. metropolitan areas.

3.2 The Growth of Invention

It is well known that the number of patents generated in the United States has increased over time (Hall et al., 2001). Figure 1 shows the annual number of utility patents granted each year in the United States. The contribution of foreign patents (those generated outside the United States) seeking U.S. patent protection is also highlighted. The rate of knowledge production is not constant over time, with periods of relatively slow and more rapid growth punctuated by sharp periods of decline. Downturns in the pace of invention are not randomly distributed, but appear connected to important historical events that shaped the creation of technological knowledge. Figure 1 reveals a first period of growth from 1900 to 1916, interrupted by the First World War (1914-1918). Between 1916 and 1920 the total production of patents in the U.S. dropped by about 16%. Patent production rebounded in the 1920s, pacing the rapid growth of the U.S. economy until the onset of the Great Depression. After 1932, patent production in the United States began a long, fifteen year slide, only briefly interrupted by the start of the Second World War. Between 1932 and 1947, the rate of domestic invention in the U.S. fell by almost 60%.

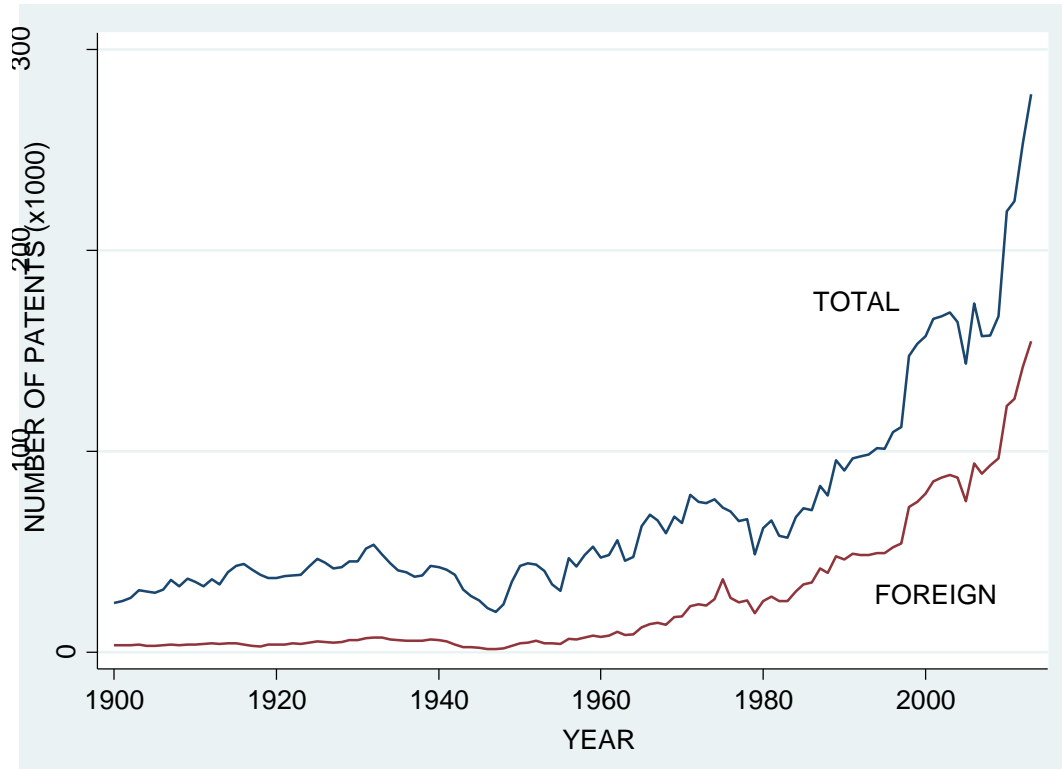


Figure 1. The Rise of Patenting in the United States¹

The economic boom that the United States experienced after the war helped sustain a long period of rapid growth in patenting. This ended quite dramatically in the early-1970s with a deteriorating financial climate related to the cost of the Vietnam War and to a sharp rise in international competition. The OPEC² oil embargo of 1973 sent shockwaves through the U.S. economy, triggering a period of economic instability that was to last almost a decade. Indeed, between 1971 and 1983, the yearly number of granted patents dropped by 27%. From the mid-1980s until 2000, annual patent production in the U.S. enjoyed almost uninterrupted growth, though as the dot.com technology bubble burst in 2000, the U.S. domestic knowledge production was again sensibly affected.

3.3 Identifying events of technological crises

¹ This figure is based on the table of Annual U.S. Patent Activity Since 1790 provided by the USPTO: http://www.uspto.gov/web/offices/ac/ido/oeip/taf/h_counts.htm. We use the count of utility patents granted as the overall measure of invention. Foreign patents include plant and design inventions as well as utility patents.

²Organization of Arab Petroleum Exporting Countries

It should be clear from Figure 1 that the dynamics of U.S. invention, at least as they are captured by annual variations in patent production, are complex. We define technological crises as sustained periods of negative growth in patenting activity. More formally, a time series recording yearly patenting activity can be defined as a continuum of local maxima (peaks) and minima (troughs) that divide the series into periods of technological growth from trough to peak and technological crisis from peak to trough. To fix ideas, a hypothetical example of peak, trough and technological crisis is displayed in Figure 2.

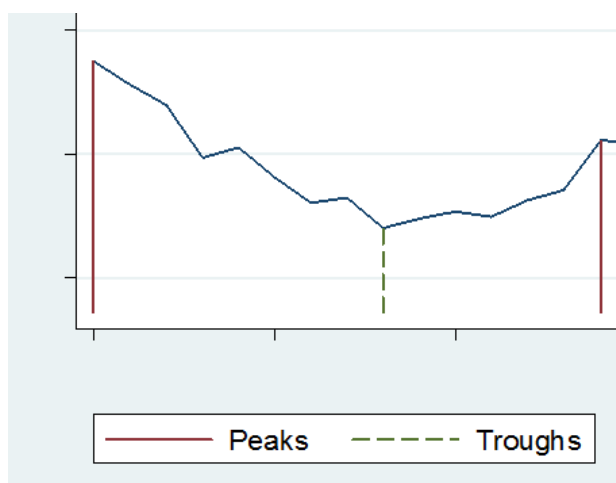


Figure 2. Peak, trough and technological crisis

Of course, the time series of U.S. domestic and foreign patent counts is noisy. Periods of growth and crisis are interrupted by odd years of gains and losses in knowledge production. The key to analysis of complex time series is to define turning points (peaks and troughs) in such a way that only significant series variation is captured and noise is excluded. To achieve this we make use an adapted version³ of the algorithm originally developed to detect business cycles by Harding and Pagan (2002). This algorithm identifies potential turning points as the local minima (trough) and maxima (peak) in the series. Let's P_t be a patent count yearly series. A trough is identify as $(p_{t-j}, \dots, p_{t-1}) > p_t^{trough} < (p_{t+j}, \dots, p_{t+1})$, while a peak follows the condition that $(p_{t-j}, \dots, p_{t-1}) < p_t^{peak} > (p_{t+j}, \dots, p_{t+1})$. Because of data truncation (before 1975 and after 2002) we allow crisis events to start with a peak/trough in 1975 and end with a peak/trough in 2002. The algorithm defines turning points in such a way that a peak must be followed by a trough and a trough must be followed by a peak. Finally, to avoid series noise, candidate years for

³The stata programme can be found here : <http://fmwww.bc.edu/repec/bocode/s/sbbq.ado>

peak and trough must satisfy two conditions. The phases (technological growth or technological crisis) should be at least 2 years long, while complete cycles (period between 2 peaks or between 2 troughs) should be at least 5 years long.

4. Descriptive statistics

The main objective of this paper is to study the relative capacity of cities to maintain the production of technological knowledge over time, particularly in periods of economic adversity. In order to identify peaks and troughs in patent production at the city level, we run the algorithm identified above for all 366 U.S. Metropolitan Statistical Areas (MSAs) from 1975 to 2002. Analysis reveals that the probability of an individual MSA being in a period of (patenting) crisis varies dramatically across the set of U.S. cities. Similarly, the depth and duration of crisis events are markedly different between cities.

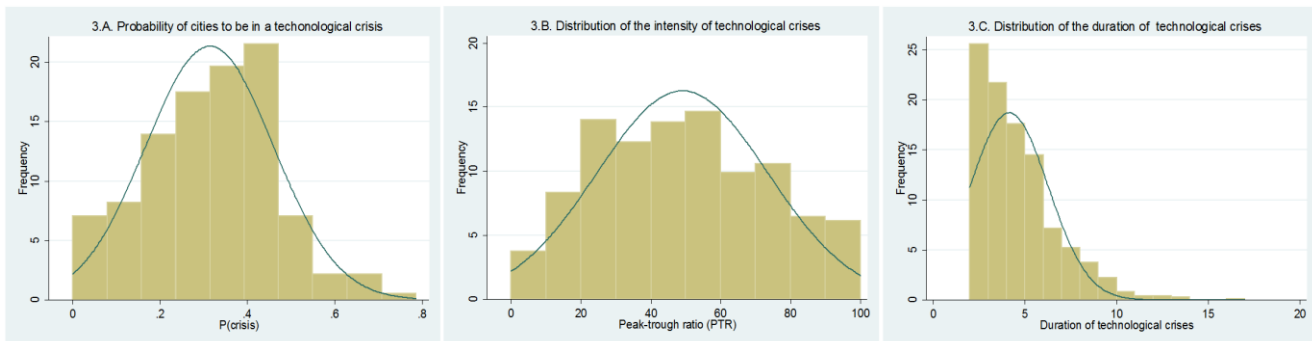


Figure 3. Frequency, intensity and duration of technological crises

Figure 3A shows the probability distribution of any city being in a period of technological crisis in a single year from 1975 to 2002. On average, the probability of occurrence of an annual city-crisis event is approximately 0.3, that corresponds to about 8 years in total. Only 20% of all cities spend less than 6 years in crisis, while the majority of cities spend between 6 and 12 years in this condition. A surprisingly large share of cities spend between 12 and 18 years in crisis (30%) while only about 5% of cities are usually classified in crisis mode (more than 18 years).

Figure 3B reports considerable variation in the intensity of crisis events between cities. To calculate the intensity of a crisis, we compute the peak-trough ratio (PTR), the share of patents produced in a given trough year compared to the number of patents produced at the previous peak. Thus, if a city

produce 1000 patents at a peak in year_t (beginning of a technological crisis) and only 500 at the next trough in year_{t+n} (end of the crisis event), the PTR equals 50%. On average across all cities and crisis events the PTR equals 49%. However, as Figure 3B reveals, some technological crises have more dramatic consequences in term of patent loss. About 4% of crises lead to a PTR of less than 10%, while the majority generates PTRs of 20 to 60%. About 30% of crises are very destructive, associated with a PTR of more than 60%.

The distribution of crises by duration is mapped in Figure 3C. Most of the crises in patent production tend to be relatively short. On average, crises last for about 4 years, with the modal length being 2 years (26% of the events). Only about 35% of the technological crises last for more than 5 years. Figure 4 show the dynamics of technological knowledge across a set of U.S. cities, showing periods of peaks, troughs and technological crises (period from peak to trough).

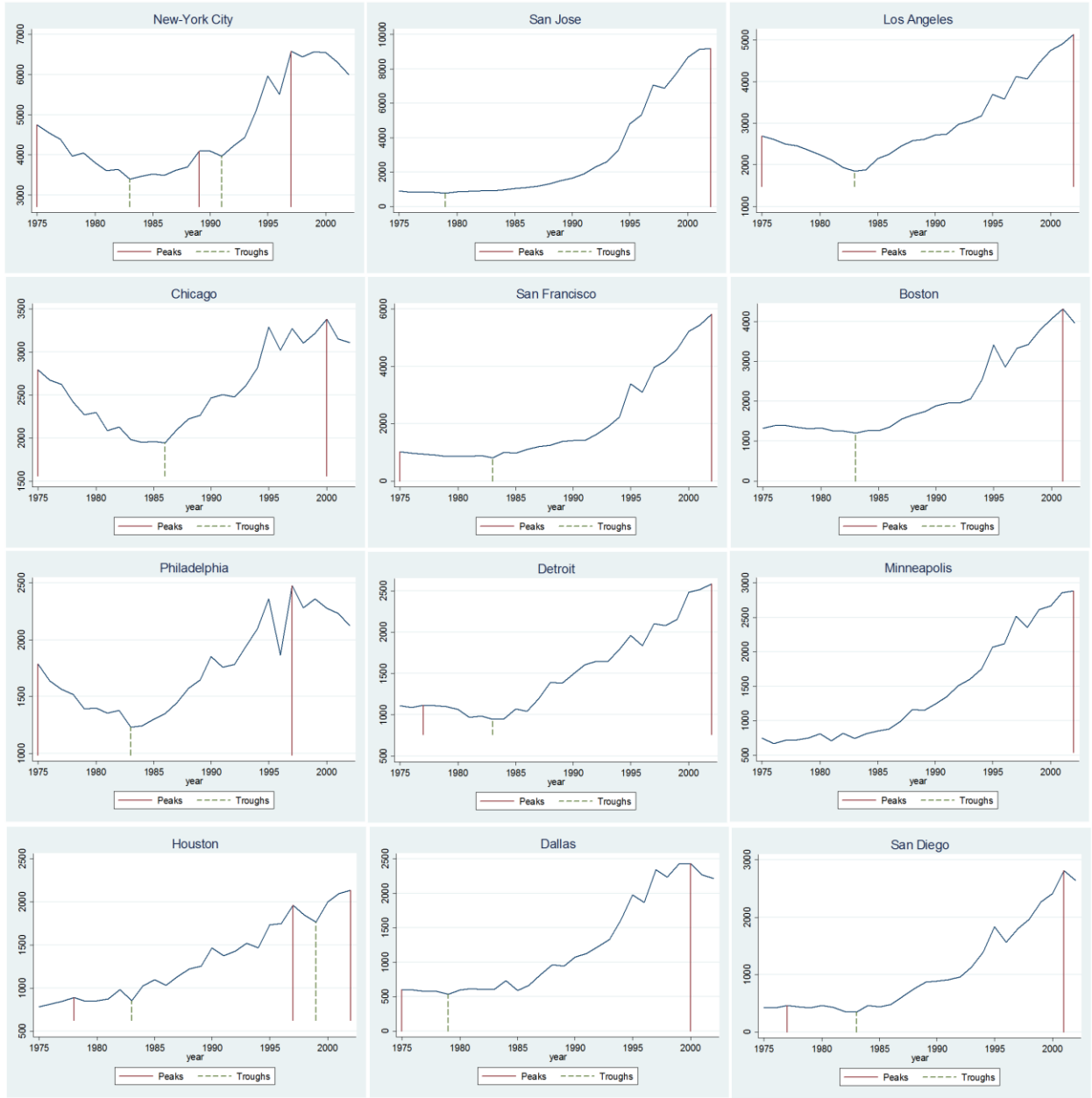


Figure 4. Peak, trough and technological crises across U.S. cities

5. Empirical analyses

In this section, we model the technological resilience of cities, the reasons why cities appear to enter crises of invention and what influences the depth and duration of crisis events. Our work starts with a brief outline of a basic econometric specification.

5.1. Modeling the technological resilience of cities

Econometric specifications

We are particularly interested in the factors that influence the technological resilience of cities. There are three dimensions of resilience on which we focus attention:

1. Vulnerability – why some cities enter technological crises while others are less vulnerable?
2. Crisis intensity – why some cities suffer more from technological crises?
3. Crisis duration – why some cities recover more quickly from technological crises?

In order to explore these questions, we regress measures of each of our three dimensions of resilience, on the technological, institutional and network flexibility of cities. The general econometric equation to be estimated is:

$$resilience_{c,t} = \beta_1 tech_flexibility_{c,t-1} + \beta_2 inst_flexibility_{c,t-1} + \beta_3 net_flexibility_{c,t-1} + \beta_4 adverse_events_t + \beta_k city_{kc,t-1} + \varepsilon_{i,c,t} \quad (1)$$

We do not properly capture the complexity of the concept of resilience in a single dependent variable $resilience_{c,t}$, but instead we use three alternative dependent variables: (1) the probability of a city falling into crisis ($crisis_{c,t}$), our measure of vulnerability (2) the peak-trough ratio ($peak_trough_{c,t}$), our measure of crisis intensity and (3) the length of the crisis ($duration_crisis_{c,t}$), our measure of crisis duration. The same set of independent variables is employed to account for the variance across each of the three measures of resilience. The dependent variable $crisis_{c,t}$ is by nature binary, and equals 1 if a city that was not in crisis enters a period of sustained negative technological growth (from peak to trough), and 0 otherwise (i.e. if the city continues to avoid being in a crisis the next year). Therefore, we only model a city's movement into technological crisis with our first dependent variable. We use a logistic regression model to account for the binary nature of the dependent variable.

Our second specification uses the dependent variable $peak_trough_{k,t}$ that captures the intensity of a crisis event. As introduced above, $peak_trough_{k,t}$ is the ratio between the number of patents produced by a city the year before entry to a period of crisis (number of patents at the peak) and the lowest number of patents produced by a city during a crisis (number of patents at the trough). By construction this ratio ranges between 0 (if the number of patents at the peak equals the number of patents at the trough) and 100 (if the city produced patents at the peak but does not produce any patents during in the trough). The higher the $peak_trough_{k,t}$ value the more intense the crisis. Here we use an OLS linear regression to explain the variation in intensity of crises.

The third regression specification uses the dependent variable $duration_crisis_{c,t}$, that measure show many years a city spends in a given crisis event, the number of years between peak and succeeding trough. We presume that resilient cities tend to recover more quickly from crises. To evaluate the duration of crises we adopt Cox's proportional hazards model for our regression relationship. Since some cities are still in crisis at the end of our period of observation, survival times are by construction right-censored (we do not know when these cities will get out of technological crises; we just know that they are still in crisis in the last period of observation).

Measuring the flexibility of cities

Technological flexibility is a city-level measure that reflects the structure of the knowledge base of a city (Boschma et al., 2014; Rigby, 2013; Kogler et al., 2013). Inspired by the work by Hidalgo et al. (2007) on product space, we define a knowledge space as a network where nodes are the primary technology classes (n=438) into which patents are placed. In this network, the links indicate the degree of relatedness between any two technological classes. We measure relatedness between classes by looking at how often two technology classes co-occur on the same patent. These co-class counts are

normalized by the product of the number of patents found in each of the technology co-classes, assuming a simple probability calculus (Van Eck and Waltman, 2009). Our technological relatedness measure $\varphi_{i,j,t}$ indicates whether two technology classes i and j co-occur on individual patents more often than what can be expected by chance under the assumption that individual occurrences of patents in class i and in class j are statistically independent.

Combining this measure of relatedness between classes with information on the technological portfolio of a city (the set of technology classes in which patents in a city are located) at time t , we compute the technological flexibility of each city as the average relatedness of the patents present in the city to all technological classes that are not yet in the city. Technological flexibility characterizes the structure of the knowledge base of cities. More formally, the technological flexibility of a city c in time t is given as

$$tech_flex_{c,t} = \frac{\sum_{i \notin c} \left(\frac{\sum_{j \in c, j \neq i} \varphi_{ij}}{\sum_{j \neq i} \varphi_{ij}} \times 100 \right)_{i,c,t}}{\sum_{i \notin c} i} \quad (2)$$

where i and j are technological classes.

Let us assume that class m and class n are the only classes absent in the portfolio of city c in year t . And let us further assume that the degree of relatedness between class m and the technological portfolio of city c is 100% on the one hand, and that the degree of relatedness between class n and the technological portfolio of city c is 50% on the other hand. This means that all the classes that are related to m in the knowledge space can be found in city c , while only half of the classes related to n can be found in c . Therefore, the technological flexibility of city c at time t is 75%. Technological flexibility provides a measure of the potential reconfiguration of local technological assets, a measure of the relative ease with which a city might adjust or adapt its technological portfolio in the face of shocks that

might render parts of that portfolio less competitive. We use the moving average (3 years) of this measure in our estimations to take account of potential persistence in the knowledge structure of cities.

For each city, we also develop an indicator of institutional flexibility based on the enforceability of non-competition agreements. Non-competition agreements are contracts that legally constraint the ability of workers of a given company from joining a rival company, as well as their ability to form a spin-off. As a result, non competition agreements can potentially reduce labor mobility and knowledge flows within cities. We expect cities that have a more open and flexible institutional environment to be better able to avoid crises and to adapt more easily to crisis events. To measure institutional flexibility we used the inverse of the non-competition enforcement index developed by Garmaise (2011) for U.S. states. This index is based on the extensive survey of Malsberger (2004), who identifies 12 key dimensions of non-competition law in the United States. These dimensions takes the form of questions such as *"Who has the burden of proving the reasonableness or unreasonableness of the covenant not to compete?"*. Garmaise assigned one point for each question in which a state enforces a dimension of non-competition law. For this question for instance, states in which the burden of proof is placed on the employee score 1. As a result, states non-competition enforcement index can potentially range from 0 (low enforcement) to 12 (high enforcement), but in practice the index goes from 0 (California) to 9 (Florida). A complete list of questions and state totals is given in the Appendix of Garmaise (2011). To make it an institutional flexibility score, we use the inverse of this index, so a low score of enforcement means high institutional flexibility. When MSA included cities from different states, we used the state of the biggest city.

Network flexibility is measured as the betweenness centrality score of cities in the inter-city network. The inter-city network can be defined as the set of co-invention linkages across cities, where the weight

of the linkages between city c_1 and city c_2 is simply given by the number of patents in which both an inventor living in c_1 and an inventor in c_2 are listed. We use the moving average (3 years) of this measure in our estimations to take account of potential persistence in the ties between inventors.

Control variables

While we are primarily interested in the relationship between technological, institutional and network flexibility and the different dimensions of resilience, we recognize that resilience may be influenced by a range of other variables. $City_{kc,t-1}$ is a vector that captures a range of city characteristics. We develop controls for the specific economic context of the city using *employment growth*, the number of inhabitants in the city by square meters (*population density*), the number of patents in a given city (*inventive capacity*), the growth rate of the number of patents (*technological growth rate*), and the *technological specialization* of each city. The latter covariate is calculated using a Herfindhal index. For each city we also include a competition index (the ratio of firms to employees, following Glaeser et al. 1992), the ratio of inventors to employees, the share of independent inventors, the number of firms and a dummy for being in the Sunbelt or the Snowbelt. There are clear theoretical priors for inclusion of these variables, though we do not review all related literature here.

In our base model specification, $adverse_events_t$ is a vector that summarizes a range of important adverse events that might have affected the U.S. economy during the period of investigation. We use dummy variables for each of the periods covered by these events. For instance, the variable *oil crisis* = 1 for the years 1975 to 1983 (also capturing the slowdown associated with the deep recession of the early 1980s), while the variable *gulf war* runs from 1990 to 1991 and the *dot come bubble* accounts for the internet crisis from 1999 to 2001. We expect all these events to affect the likelihood of a city entering a crisis, but also to increase the intensity and duration of crisis events. Our panel consists of

data for 366 cities (MSAs) examined annually over the period 1975-2002. Table 1 provides summary statistics of the variables used in the econometric analysis.

Variable	Obs.	Mean	Std. Dev.	Min	Max	
<i>Resilience (dependent) variables</i>						
Crisis	7987	0.118943	0.323742	0	1	
Peaktrough	585	49.23624	24.45894	3.215591	100	
Duration_crisis	950	3.345263	2.293702	1	17	
<i>Main variables of interest</i>						
Technological flexibility	9150	12.38585	14.75754	0	83.55173	
Institutional flexibility	10248	-4.42701	1.927631	-9	0	
Network flexibility	9150	888.07	6087.9	0	93000	
<i>City characteristics</i>						
Employment growth (%)	9882	2.063726	3.022189	-15.03574	66.49197	
Inventive capacity (log)	9882	3.287253	1.891504	-4.60517	9.119979	
Population density	9882	233.7206	284.4223	2.9599	2765.112	
Tech. specialization	9882	0.12	0.17	0	1	
Tech. growth rate	9752	.1420715	.304235	-.619047	5.61111	
Competition index	9046	.0445672	.0250041	.0071901	1.140337	
Inventor ratio	9882	.0004447	.0004995	0	.0079516	
Ratio independent inventors	9423	.3603598	.2432031	.01	1	
Number of firms	9050	13201.07	32113.05	0	498341	
Sunbelt	10248	.6229508	.484671	0	1	
<i>Adverse events</i>						
Oil crisis	[1975-1983]	10248	0.321429	0.467048	0	1
Gulf war	[1990-1991]	10248	0.071429	0.257552	0	1
Dot com bubble	[1999-2001]	10248	0.107143	0.30931	0	1

Table 1. Summary statistics of the dependent and independent variables

5.2 Does flexibility lower the probability of entering a technological crisis?

We first investigate what leads cities to fall into technological crises. We identify 950 events of crisis out of 7987 possible situations (years where cities are potential candidates to enter a crisis – years in which cities are not already in crisis), that leads to a rate of new technological crises of about 11.89 % (see Table 1). Table 2 presents the results of estimating equation 1, using a logistic regression model

with $crisis_{c,t}$ as the dependent variable. Again we note here that all our independent variables have been lagged one period. We turn, first, to the influence of our three different measures of flexibility at the city-level, technological flexibility, institutional flexibility and network flexibility. The coefficient for technological flexibility is negative and significant. Thus, as technological flexibility (average relatedness to new technologies) increases, the likelihood that a city enters a crisis falls. The coefficient for institutional flexibility is negative and significant at the 0.1 level, suggesting that cities with greater institutional flexibility are also less likely to fall into technological crises. The coefficient for network flexibility is positive though insignificant and so there is no clear evidence of the centrality of a city in the U.S. city system on crisis avoidance. Turning to the city-level control variables, two variables seem to be important in explaining the vulnerability of cities. Both employment growth and the technological growth rate are significantly associated with a lower probability of entering a technological crisis. Cities that patent a lot in the previous year (positive and significant coefficient for inventive capacity) and cities from the Sunbelt are more at risk, but also cities that are very specialized, cities with a high number of firms and many inventors working independently. Population density, inventor ratio and competition are not significantly associated with crisis events. Global (at least in the sense of impacting all U.S. cities) adverse events tend to reduce the pace of invention. We find evidence that U.S. cities overall fell into technological crises during periods that we identify such as the oil crisis and the gulf war (the dot com bubble also shows a positive sign but it is not statistically significant). Overall, our results show that an increase in the flexibility of the knowledge structure of cities, but also the flexibility of the institutional context is significantly associated with a lower risk of entering a technological crisis.

<i>Dependent variable is : $crisis_{c,t}$</i>	Coefficients	Robust Std. Err.	P-value
Technological flexibility	-0.11893	0.01154	0.00000
Institutional flexibility	-0.03471	0.01867	0.06298
Network flexibility	0.00001	0.00001	0.16136
Employment growth	-0.06556	0.01431	0.00000
Inventive capacity (log)	1.02229	0.11425	0.00000
Population density	-0.00003	0.00027	0.90946
Tech. specialization	1.60083	0.50048	0.00138

Tech. growth rate	-0.69559	0.27125	0.01034
Competition index	-2.34360	1.54424	0.12911
Inventor ratio	25.83415	89.86623	0.77375
Ratio independent inventors	0.48590	0.21150	0.02160
Number of firms	0.00001	0.00000	0.00064
Sunbelt	0.27688	0.08155	0.00069
Oil crisis	0.72554	0.09268	0.00000
Gulf war	0.31413	0.14351	0.02860
Dot com bubble	0.18313	0.12568	0.14510
Constant	-4.83123	0.38037	0.00000
<hr/>			
Observations	6,431		
Pseudo R-squared	0.0587		
<hr/>			
<i>Notes: The dependent variable $crisis_{c,t}$ equals 1 if a city ($n=366$) that was not in crisis in t enters a crisis event defined as sustained negative technological growth (from peak to trough) in $t+1$, and 0 otherwise. Standard errors are clustered by city.</i>			
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Table 2. Probability to enter technological crises

5.3 Does flexibility reduce the intensity of technological crises?

In the previous section, we modeled the probability of a city entering a period of crisis, but as we discussed earlier, technological crises vary considerably in terms of intensity. In fact, as Table 1 shows, the peak-trough ratio, our preferred measure of crisis intensity, ranges in value from 3.2% to 100%. Table 3 presents the results of estimating equation 1 where the dependent variable is $peak-trough_{c,t}$ our measure of crisis intensity. The peak-trough ratio is computed in the year of the trough, while the value of the independent variables is computed immediately before entering the crisis. Our observations are limited to the cities that are experience crises ($n=950$). Out of the 950 crisis events, some are censored (if the technological crisis continues through 2002 we cannot observe a trough) so the intensities of crises are not always known. Nonetheless, we can exploit information on 527 peak-trough ratios of crisis intensities for cities over the period 1975-2002.

In this model, once more, the coefficient for technological flexibility is negative and significant, meaning that cities with a more flexible knowledge structure tend to experience less intense technological crises. Institutional flexibility and network flexibility have also the expected sign, but they are not statistically significant. This result might be explained by the low number of observations. In terms of city characteristics, there is a very strong size effect, as the level of invention tends to dampen the intensity of technological crises. Urban density and the technological growth rate are associated with more severe crisis events. Most of the other city controls (employment growth, specialization, competition, inventor ratio, ratio of independent inventors, number of firms, Sunbelt) are not significant. When turning to the impact of adverse events, the effect is also much less clear than when looking at the probability of crisis entry. Only the oil crisis is significantly associated with more intense crises (positive coefficient), while the dot com bubble (positive coefficient) and the gulf war (positive coefficient) variables are not significant. Overall, we only find evidence for the role of the flexibility of the knowledge structure, but not for institutional and network flexibility.

<i>Dependent variable is : peaktrough_{c,t}</i>	Coefficients	Robust Std. Err.	P-value
Technological flexibility	-0.46220	0.21646	0.03355
Institutional flexibility	-0.08392	0.44303	0.84989
Network flexibility	-0.00001	0.00021	0.97165
Employment growth	-0.28789	0.28185	0.30786
Inventive capacity (log)	-9.11003	2.56809	0.00045
Population density	0.00929	0.00452	0.04076
Tech. specialization	26.05740	19.80034	0.18917
Tech. growth rate	9.97786	2.97598	0.00090
Competition index	77.43708	108.61691	0.47644
Inventor ratio	-480.19330	2,201.49585	0.82748
Ratio independent inventors	-1.02989	5.06545	0.83902
Number of firms	0.00003	0.00004	0.44369
Sunbelt	-1.25805	1.91229	0.51112
Oil crisis	11.13402	1.92716	0.00000
Gulf war	0.52637	2.41380	0.82752
Dot com bubble	1.38082	2.69221	0.60840
Constant	72.37400	11.20091	0.00000
Observations		508	

R-squared

0.48292

Notes: The dependent variable $peak_trough_{c,t}$ is the ratio between the number of patents produced by a city the year before entering in a crisis (number of patents at a peak) and the lowest number of patents produced by a city during a crisis (number of patents at a trough). Standard errors are clustered by city.

Table 3. Intensity of technological crises (peak-trough ratio regressions)

5.4 Does flexibility limit the duration of technological crises?

Crisis events vary in terms of intensity and also in terms of duration. During our period of observation and across the 366 U.S. cities, crises can be as short as 1 year or as long as 17 years. This section explores whether the technological flexibility of cities limits the duration of crisis events. Table 4 presents the results of estimating equation 1 when the dependent variable is $duration_crisis_{c,t}$. In this model, we estimate the hazard of exiting a crisis using the Cox proportional hazard model allowing for repeated events. In this model, it is important to note that a coefficient greater than 1 means that the hazard (the likelihood of exiting the crisis) is more likely. So, contrary to the logistic (probability of entering in crisis) and OLS specification (intensity of crisis), we expect in the duration model a coefficient greater than 1 for our main variable of interest (technological flexibility). Thanks to this model, we are able to use information on all 950 crisis events, since our model does not omit observations on crises that have not ended by the year 2002. Indeed, hazard models consider censored events as arising out of the same distribution as those events that have been observed to end (Hausmann et al., 2006).

The hazard ratio for technological flexibility is above 1 and significant, indicating that the technological flexibility of cities increases the likelihood of exit from a crisis. The hazard ratio on technological flexibility suggests that a 1-unit increase in flexibility increases the probability of exiting a crisis by about 1%. The economic context of a particular city, captured by employment growth, is also

important in explaining why some cities exit technological crises more quickly than others. Surprisingly, this is not the case for institutional and network flexibility, inventive capacity and inventor ratio. Specialization is strongly associated with longer crisis, while most of the other control variables (population density, technological growth rate, competition, ratio of independent inventors, number of firms, Sunbelt) are not significant. Unfortunately, since we use a Cox proportional hazards model with time-varying independent variables (interacted with time), we cannot test the effect of adverse events. Alternative model specifications with time-constant independent variables suggest that the oil crisis period in particular lowered the recovery speed of cities.

<i>Dependent variable is : duration before exiting crisis</i>	Hazard ratio	Robust Std. Err.	P-value
Technological flexibility	1.01000	0.00159	0.00000
Institutional flexibility	0.99363	0.00391	0.10468
Network flexibility	0.99999	0.00000	0.00161
Employment growth	1.00776	0.00284	0.00604
Inventive capacity (log)	0.90461	0.01692	0.00000
Population density	1.00005	0.00003	0.10621
Tech. specialization	0.84205	0.06829	0.03402
Tech. growth rate	1.04078	0.02973	0.16177
Competition index	7.89408	10.40096	0.11685
Inventor ratio	0.00000	0.00000	0.07620
Ratio independent inventors	0.94358	0.03641	0.13231
Number of firms	1.00000	0.00000	0.09856
Sunbelt	0.91280	0.12924	0.51930
Observations		944	
Wald chi2(13)		140.42	
Prob > chi2		0.0000	

Notes: The dependent variable duration_crisis_{c,t} indicates the number of years spent in a technological crisis. Standard errors in parentheses. We report hazard ratios from Cox proportional hazards model with time-varying variables.

Table 4. Duration of technological crises

6. Discussion and conclusion

In this paper, we tackled a number of issues that had not yet been fully addressed in the regional resilience literature. Instead of merely focusing on the ability of a city to accommodate shocks, as is common in the conventional concept of regional resilience, we redefined regional resilience in terms of how shocks affect the long-term capacity of regions to develop new technological knowledge. We regard knowledge production as a critical indicator of the ability of regions to remain competitive in the long run, and this is captured by our concept of technological resilience. This is the first study that has investigated resilience as the capacity of regions to maintain their levels of new knowledge creation over the long-run in the context of technological crises. Moreover, we have made efforts to incorporate history as a key input to our understanding of regional resilience. This is most visible in our notion of technological flexibility which refers to features of the regional knowledge base that defines the potential of regions to adapt their technological assets in the face of shocks. We also proposed a comprehensive view on regional resilience in which the role of regional institutions and open network structures are included, two key dimensions that have been largely ignored in the regional resilience literature so far. In that respect, we proposed the notion of institutional flexibility to grasp the potential of regional institutions as enablers of local knowledge transfers, interactions and recombinations.

We analyzed the technological resilience of U.S. cities from 1975 to 2002 by documenting their relative capacity to sustain the production of technological knowledge in the face of adverse events. We found that the frequency, intensity and duration of technological crises varied across American cities. We tested whether the technological knowledge base of cities, their network centrality and institutional environment conditioned their resilience to technological crisis events. Our findings showed that U.S. cities with a knowledge base that has a high degree of relatedness to technologies that are not yet present in the city, were more capable of (1) avoiding technological crises, (2) limiting serious downturns in patent productivity, and (3) speeding up recovery. The influence of network centrality and the institutional environment of cities exerted little overall impact on urban technological resilience.

There remain a series of issues that should be taken up in further research. First, we defined resilience as the capacity of cities to maintain their levels of knowledge creation when technological crises occur. An interesting next step is to extend the analysis of urban resilience to the capacity of cities

to develop new technology paths. This would shed light on the capability of cities when shocked to shift their resources towards more advanced knowledge domains, and to replace their obsolescent technological fields by growing and more promising ones. It is also interesting to determine whether regions adapt to shocks in terms of developing completely new knowledge domains, or whether regions develop new knowledge domains that are closely related to their existing domains, and how that affects their competitiveness in the long run. Second, there is a possibility to further refine the network variables, by not only looking at the intensity of knowledge linkages with other cities, but also at the nature of these linkages, such as what types of external knowledge flow into the city, and to what extent do such inflows of external knowledge match the local knowledge base. In addition, one could also look at the structure of the local knowledge networks, and how resilient these are to adverse shocks (Fleming et al. 2007; Crespo et al. 2013). Third, we assessed the effect of institutions on urban resilience by means of the non-competition enforcement index that we take as representing an open and flexible institutional environment. It would be interesting to develop further this institutional dimension to urban resilience by investigating the extent to which local institutional structures are open to (radical) change, are capable of responding swiftly to adverse shocks, and enable institutional change to support new growth paths (Boschma 2014). Finally, there is a need to complement this quantitative approach by in-depth case studies of particular U.S. cities, because case studies can shed complementary insights to the study of urban resilience which cannot be accounted for in quantitative studies, like the role of key local agents, local practices and policies (see e.g. Glaeser 2005; Treado 2010).

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